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Nanosponge Formation from Organocatalytically Synthesized Poly(carbonate) Copolymers

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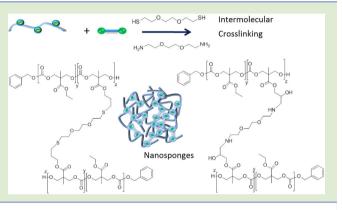
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Supporting Information

ABSTRACT: Advanced organocatalytic synthesis methods were employed to prepare linear poly(carbonate)s with control over functional group incorporation and molecular weight. Pendant allyl or epoxide groups served as reaction partners in thiol—ene click or epoxide—amine reactions with ethylene oxide-containing cross-linking groups to form a panel of six novel poly(carbonate) nanosponges with cross-linking densities ranging from 5, 10, and 20% via an intermolecular chain cross-linking approach.

Talue-driven engineering and the synthesis of biomaterials for applications in tissue engineering, wound healing, and drug delivery are the driving forces in the development of defined and functionalized materials. While the preparation of poly(ester)- and poly(carbonate)-based particles has been mainly driven by precipitation processes,¹⁻³ chemically driven nanoparticle formation has given the opportunity to control sizes and the architectural nature of the particles. Intramolecular^{4,5} and interchain cross-linking processes^{6,7} have been developed into suitable methods to form versatile supramolecular structures. In particular, intermolecular chain crosslinking of side-chain-functional poly(ester)s derived by ringopening polymerization (ROP) of substituted δ -valerolactone monomers⁸⁻¹¹ affords controlled nanoparticle sizes that can be varied via the percentiles of side-chain functionalities into the linear poly(ester) precursor. Furthermore, the morphology and size can be controlled with the amount of difunctionalized cross-linking units, which react with the side-chain functionality of the polymer. With this, functionalized particles that are further postmodified with targeting units and, upon drug encapsulation, can be tested for their biological response as drug delivery systems.^{11,12}

A range of degradable polymers have been investigated for in vivo applications.^{13–16} Poly(ester)s are most commonly studied, however, the introduction of side-chain functional groups is typically challenging and can limit their applicability in advanced applications.^{16,17} Poly(carbonate)s prepared by the ROP of sixmembered cyclic monomers have been widely explored for these applications, and organocatalysis has provided efficient routes to realize a range of functionalized polymer structures.^{18–20} Recently, a range of functional monomers and polymers have been explored from simple precursors giving access to unprecedented levels of functional group incorporation.^{21–23} Importantly, poly(carbonate)



materials display slower degradation profiles with less toxic byproducts than poly(ester)s, thus, making them ideal candidates as one of the building blocks for advanced nanomaterials.¹⁷ Herein we demonstrate that, for the first time, the organocatalytic copolymerization of an allyl-functional cyclic carbonate monomer with a cyclic carbonate monomer with a functional group that cannot undergo postpolymerization modification yields aliphatic copoly(carbonate)s that can be employed in the intermolecular chain cross-linking process for the synthesis of poly(carbonate) nanosponges (Figure 1).

Extension of the organocatalytic methods for ROP of 5methyl-5-allyloxycarbonyl-1,3-dioxane-2-one (MAC) to prepare a series of novel copolymers with 5-methyl-5-ethyloxycarbonyl-1,3dioxane-2-one (MTC-Et) to provide a series of three copolymers (Figure 2) initiated from benzyl alcohol using the (–)-sparteine/ thiourea catalyst system (Scheme 1) with controlled functional group densities was undertaken.^{24,25} The observed copolymers showed a good control in molecular weight but slightly broad dispersities that are a consequence of high molecular weight tailing of the polymer distributions at high conversions (Figure 2).

It was decided that these polymers were suitable to test the ability and performance in nanoparticle formation since the incorporation of the MAC monomer, which contains the allyl functionality, was consistent with the monomer feed ratios as confirmed by ¹H NMR spectroscopy (Supporting Information). The ability of the copolymers (Figure 2) to form nanoparticles was investigated initially via the previously developed thiolene "click" chemistry.

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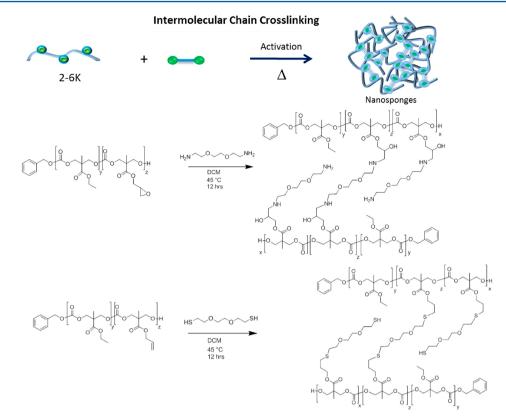


Figure 1. Nanoparticle formation via an intermolecular thiolene-click reaction and the epoxide-amine reaction with either P[(MAC)z-*co*-(MTC-Et) y] or the oxidized version P[(MTC-Epx)z-*co*-(MTC-Et)y].

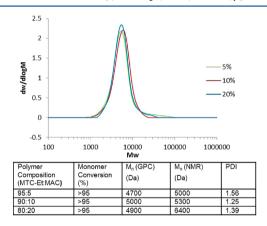
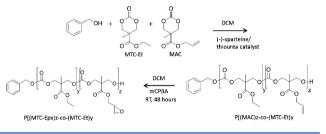


Figure 2. Ring-opening copolymerization of MAC and MTC. All polymerizations were conducted in dichloromethane at 25 $^{\circ}$ C, [monomer] = 1.6 M, [monomer]/[initiator] = 20 using benzyl alcohol as initiator with 10 mol % TU and 5 mol % (–)-sparteine as catalysts. Molecular weight and dispersity were determined using GPC calibrated with poly(styrene) standards in chloroform. Conversion and molecular weight were determined by NMR. The legend for the GPC traces refers to the percentile of MAC monomer in the copolymer.

To investigate poly(carbonate)-based nanosponge formation, a panel of three copolymers containing 5, 10, and 20% of MAC was planned to react with the dithiolethyleneoxide cross-linker in a thiolene reaction. We sought to keep the equivalencies of the difunctionalized cross-linker constant to investigate the control of size dimensions with a variation of the cross-linking density in the linear precursor; therefore, all reactions were completed using 4 equiv of respective difuctionalized crosslinker (8 equiv thiol/allyl). Transmission electron microscopy (TEM) and dynamic light scattering (DLS) analysis demonScheme 1. Synthesis of Poly(carbonate) Copolymers with 5, 10, and 20% Incorporation of MAC and Their Subsequent Oxidation of the Allyl to the Pendant Epoxide Group



strated that poly(carbonate)-based nanosponges could be prepared employing intermolecular cross-linking reactions. The increasing amount of allyl functionality in the polymer backbone led to larger particles for the series as displayed by the number-average hydrodynamic diameters, $D_{\rm h}$ = 220 nm for the 20% cross-linker-containing particles, in contrast to smaller particle sizes of $D_{\rm h}$ = 150 nm for the particles prepared with 5% MAC comonomer incorporated. The DLS data shown in Figure 3 in logarithmic scale underlined the chemically driven nanoparticle formation via the intermolecular chain collapse process using the cross-linking density to control the nanoparticle formation. In comparison to thiolene-"click" reactions with analogous poly(ester) linear polymers,¹⁰ the poly(carbonate)-derived particles are smaller than expected, attributed to a lower degree of polymerization of the poly(carbonate) copolymers (DP = 20) than those reported from the poly(ester) polymers and its analogs $(DP = 50).^{8}$

As an alternative methodology, particle formation using epoxide-amine cross-linking chemistry, analogous to the functionalized poly(ester) particles, was employed. As previously

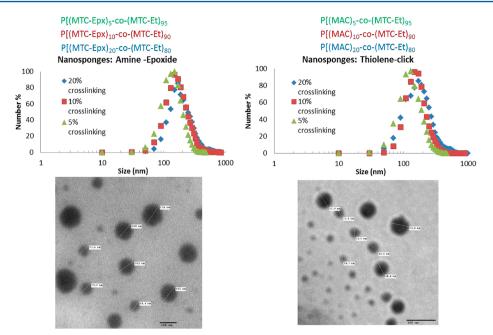


Figure 3. Top row: DLS analysis data for both particle series derived from the two panels of copolymer precursors with amine-epoxide and the thiolene-click reaction. TEM imagines of two representative poly(carbonate) nanosponges: left, 5% amine-epoxide; right, 5% thiolene-click.

demonstrated by Storey and co-workers,²⁵ the oxidation of allyl-functional poly(carbonate)s with *m*-CPBA results in the formation of the epoxide-functional polymers to provide an alternative group that has been proven to be very valuable to the synthesis of nanoparticles and functionalization reactions in surface labeling. The MAC-containing copolymers were fully epoxidized by treatment with 1.2 equiv *m*-CPBA in CH₂Cl₂ to form the suitable linear precursor. The disappearance of the characteristic vinyl resonances in the range $\delta = 5.9-5.3$ ppm was observed with the appearance of resonances that are clearly attributable to the formation of epoxide-functional polymers at $\delta = 3.19$, 2.82, and 2.63 ppm (Supporting Information). Other resonances in the ¹H NMR spectrum of the polymers did not change and the same chain length was determined by end group analysis.

Reaction of the panel of functionalized copolymers containing 5, 10, and 20% epoxide pendant functional groups with 4 equiv diaminoethyleneoxide (8 equiv amine/epoxide) was performed to cross-link the polymers (Supporting Information). Analysis of the resultant nanosponges, again by TEM and DLS, demonstrated that particles slightly increased sizes of $D_h = 230$ nm for the particles with 20% cross-linking in contrast to $D_h = 160$ nm for particles prepared from the lowest cross-linking density available in the study (Figure 2). In comparison to analogous poly(ester) materials, the particle sizes are again smaller, attributed to the lower degree of polymerization in contrast to the previously investigated poly(ester) materials. Notably, however, the different preparation methods have resulted in comparably sized particles, demonstrating the versatile synthesis of these nanomaterials.

In summary, for the first time, we have demonstrated the formation of a range of novel functionalized poly(carbonate) nanoparticles using two different chemistries with the established intermolecular cross-linking process. We have prepared functionalized poly(carbonate) copolymers of 5-methyl-5-allyl-oxycarbonyl-1,3-dioxan-2-one (MAC) and 5-methyl-5-ethyloxycarbonyl-1,3dioxane-2-one (MTC-Et) via organocatalytic synthesis under mild conditions using a thiourea and (–)-sparteine catalyst system. The pendant allyl groups were utilized as cross-linking partners in thiol—ene click reactions forming nanosponges in the sizes of 150-220 nm depending on the cross-linking density of the linear precursor with 5, 10, and 20% of pendant allyl groups incorporated. The oxidation of the allyl groups in the copolymers to epoxides was successful, and the following cross-linking reaction with diamines enabled the synthesis of the nanosponge particles in size ranges of 160-230 nm using an alternative epoxide-amine chemistry. These studies demonstrate the potential to form a basis for complex degradable nanoparticle syntheses for controlled release applications.

ASSOCIATED CONTENT

S Supporting Information

Synthesis of polymers and nanoparticles, as well as characterization methods, are described. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

The authors declare no competing financial interest.

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The project was conceptualized and planned by A.P.D. and E.H. The manuscript was written through contributions of all authors. S.T. and D.M.S. synthesized the linear polymers. The linear polymers were oxidized and the particles were prepared and characterized by D.M.S. at Vanderbilt University. All authors have given approval to the final version of the manuscript. Funding for this project was obtained from the University of Warwick Strategic Partnership Fund. RCUK are also acknowledged for funding a fellowship to A.P.D. and EPSRC are thanked for funding a studentship to S.T. (EP/F068808/1). E.H. acknowledges the National Science Foundation for funding this research under Award CHE-0645737. D.M.S. was supported by a Pharmacology Training

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